

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Hiroyuki FURUKAWA, et al.

Application No.:

Group Art Unit:

Filed: April 22, 2004

Examiner:

For: OPTICAL RECEIVER AND OPTICAL TRANSMISSION APPARATUS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

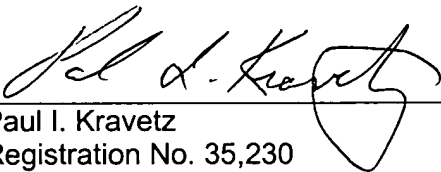
1. Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of IDS citations.
  - 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
  - 1d. ☐ English language translation (complete or relevant portion(s)) attached to each non-English language publication.
  - 1e. ☒ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.
2. ☒ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is  
  
(Check appropriate Items 2a, 2b, 2c and/or 2d)
  - 2a. ☐ satisfied because all non-English language publications were cited on the enclosed "English-language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, pp. 600-100 to 600-101, Rev. 1, Feb. 2000.)
  - 2b. ☐ set forth in the application.

- 2c. ☐ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d. ☒ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

Respectfully submitted,

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Dated: April 22, 2004  
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By:   
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FORM PTO-1449 <div style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</div> <div style="text-align: center;"><b>LIST OF REFERENCES CITED BY APPLICANT</b></div> <div style="text-align: center;"><i>(Use several sheets if necessary)</i></div>	ATTORNEY DOCKET NO. 1075.1261	APPLICATION NO.
FIRST NAMED INVENTOR Hiroyuki FURUKAWA, et al.		
FILING DATE April 22, 2004		GROUP ART UNIT

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	AA	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5,696,859	12/9/97	Onaka et al.	385	24	9/27/95
	AB						
	AC						
	AD						
	AE						
	AF						

### FOREIGN PATENT DOCUMENTS

	AG	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG	JP 8-237203	9/13/96	Japan				X
	AH	JP 11-122221	4/30/99	Japan			X	
	AI							
	AJ							
	AK							
	AL							

### OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

			TRANSLATION YES NO	
	AM			
	AN			
	AO			
EXAMINER			DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

**ATTACHMENT 1(e)**

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO. 1075.1261	APPLICATION NO.
	FIRST NAMED INVENTOR Hiroyuki FURUKAWA, et al.	
	FILING DATE April 22, 2004	GROUP ART UNIT

Reference AG (JP 8-237203) corresponds to Reference AA (U.S. Patent No. 5,696,859).